

AUDIT COMMITTEE

28 June 2016

AUDIT COMMITTEE WORK PROGRAMME 2016/17

REPORT OF HEAD OF FINANCE

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RECENT REFERENCES:

AUD116 - Audit Committee Work Programme 2015/16, 22 June 2015

EXECUTIVE SUMMARY:

A recommendation arising from the Committee's self-assessment in June 2013 was that the Committee should consider having an annual work programme to improve effectiveness.

This is now well established and an Audit Committee Work Programme for 2016/17 is attached for the consideration and approval of the Committee.

Changes from last year's Work Programme include:

- A paper regarding the appointment of external auditors reflecting the national position and progress on this matter to the June meeting. This paper will be for information only.
- The External Audit Plan was considered in March 2016 and will subsequently be presented to the March cycle of meetings rather than the meeting in June.

RECOMMENDATIONS:

1. That the Audit Committee Work Programme for 2016/17 be approved.

**MEETING – 28 JUNE 2016****AUDIT****LEAD**Internal Audit

Annual Internal Audit Report & Opinion 2015/16	Antony Harvey - Audit Group Manager, Southern Internal Audit Partnership
Internal Audit Progress Report	Antony Harvey - Audit Group Manager, Southern Internal Audit Partnership

External Audit

Annual Audit Fee 2016/17	Kate Handy – Director, Ernst and Young
Appointment of External Auditor – Update	Antony Harvey - Audit Group Manager, Southern Internal Audit Partnership

**REGULATORY FRAMEWORK**

Risk Management Policy 2016	Simon Eden – Chief Executive
Corporate Governance Report & Annual Governance Statement	Simon Eden – Chief Executive
Audit Committee Work Programme	Simon Little – Head of Finance
Treasury Management Outturn 2015/16	Simon Little – Head of Finance

**ACCOUNTS**

Annual Financial Report	Simon Little – Head of Finance
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**MEETING – 29 SEPTEMBER 2016****AUDIT**Internal Audit

Internal Audit Progress Report	Antony Harvey- Audit Group Manager, Southern Internal Audit Partnership
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External Audit

Audit Results Report for the year ended 31 March 2016	Kate Handy – Director, Ernst and Young
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**REGULATORY FRAMEWORK**

Corporate Risk Monitoring Report	Chief Executive
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**ACCOUNTS**

Annual Financial Report 2015/16 (for approval)	Simon Little – Head of Finance
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**AUDIT (GOVERNANCE) SUB-COMMITTEE**

Recommendations from Audit (Governance) Sub-Committee	Joseph Holmes – Corporate Director (Professional Services)
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<b>MEETING - 24 NOVEMBER 2016</b>
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**AUDIT**Internal Audit

Internal Audit Progress Report	Antony Harvey- Audit Group Manager, Southern Internal Audit Partnership
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External Audit

Annual Audit Letter	Kate Handy – Director, Ernst and Young
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**REGULATORY FRAMEWORK**

Annual Governance Statement Monitoring	Joseph Holmes – Corporate Director (Professional Services)
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Corporate Risk Monitoring Report	Chief Executive
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Treasury Management Mid-year Review (2016/17)	Simon Little – Head of Finance
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**AUDIT (GOVERNANCE) SUB-COMMITTEE**

Recommendations from Audit (Governance) Sub-Committee	Joseph Holmes – Corporate Director (Professional Services)
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<b>MEETING – MARCH 2017</b>
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**AUDIT**Internal Audit

Internal Audit Progress Report	Antony Harvey- Audit Group Manager, Southern Internal Audit Partnership
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Audit Plan 2017/18	Antony Harvey- Audit Group Manager, Southern Internal Audit Partnership
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Internal Audit Charter 2017/18	Antony Harvey- Audit Group Manager, Southern Internal Audit Partnership
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External Audit

Certification of Claims and Returns Annual Report 2015/16	Kate Handy – Director, Ernst and Young
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**REGULATORY FRAMEWORK**

Annual Governance Statement Monitoring	Joseph Holmes – Corporate Director (Professional Services)
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Corporate Risk Monitoring Report	Simon Eden – Chief Executive
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**ACCOUNTS**

Statement of Accounts 2016/17 – considerations	Simon Little – Head of Finance
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